

## FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 180.00 Complete if Known: Application No. 09/932,693 August 16, 2001 **Filing Date** First Named Inventor Tobin **Examiner Name** J. Winter **Art Unit** 3621 Attorney Docket No. 080398.P402 Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) None \_\_\_\_ Other (please identify) Check Credit Card Money Order \_ **Deposit Account** Deposit Account Number: 02-2666 **Deposit Account Name:** The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. X Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Large Entity Small Entity** Fee Fee Fee Fee Fee Description Fees Paid (\$) Code (\$) Code (\$) Utility application filing fee 1011 300 2011 150 250 Utility search fee 1,000/500 1111 500 2111 2311 Utility examination fee 1311 200 100 2012 200 100 Design application filing fee 1012 1112 100 2112 50 Design search fee 430/215 Design examination fee 1312 130 2312 65 1013 200 2013 100 Plant filing fee 660/330 1113 300 2113 150 Plant search fee Plant examination fee 1313 80 160 2313 1004 300 2004 150 Reissue filing fee 1114 500 2114 250 Reissue search fee 1,400/700 1314 600 2314 300 Reissue examination fee 1005 200 2005 100 Provisional application filing fee SUBTOTAL (1) \$ 0.00

## 2. EXCESS CLAIM FEES

	Extra Claims		Fee from below		Fees Paid (\$)
Total Claims	<b>- 20 or HP =</b> otal claims paid for, if greater than 20	X	\$50.00	=	
Independent Claims _	3 or HP =	X	\$200.00	=	
Multiple Dependent Cl	ndependent claims paid for, if greater than 3 aims			=	

Large	<u>Entity</u>	<u>Small I</u>	<u>Entity</u>	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$\_\_\_\_\_

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra She	<u>ets</u>	Number of each add'l 50 or fraction thereof		Fee from below	Fees paid (\$)
	<b>- 100 =</b>	/50 =	(round up to whole number)	X	\$250.00	

Large	Entity	Small I	<b>Entity</b>	
Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

FEE C	ALCULA	TION (co	ntinued)		
	THER FE				
4. <u>U</u>	ITIEN FE	<u> </u>			Food Boid (\$)
Non-F	nalish Spe	ecification	\$130 fee	(no small entity discount)	Fees Paid (\$)
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<u>Large</u> Fee	Entity Fee	Small E Fee	<u>ntity</u> Fee		
Code	(\$)	Code	(\$)	Fee Description	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804 1805	920* 1,840*	1804 1805	920* 1,840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action	
1251	1,840	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403 1451	1,000 1,510	2403 1451	500 1,510	Request for oral hearing Petition to institute a public use proceeding	
1451	500	2452	250	Petition to institute a public use proceeding  Petition to revive – unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130 50	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807 1806	50 180	1807 1806	180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40	Recording each patent assignment per	<u> </u>
002.				property (times number of properties)	
1809	790	2809	395	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808 1454	130 1,370	1808 1454	130 1,370	Processing fee under 37 CFR 1.17(i) (except provisionals; Acceptance of unintentionally delayed claim for priority	
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Other	fee (speci	fy)			<del></del>
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				SUBTOTAL (4) \$ <u>1</u>	80.00
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Signa	ture:	-6		Date: 35, 17, 200	<u>υ</u>
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Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No. 080398.P402

3621

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**Tobin** 

Application No.: 09/932,693

Filed:

August 16, 2001

For: Monitoring and Managing Delivery of

Shipped Items

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Examiner: J. Winter

Art Unit: 3621

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on (Date of Deposit)

Carla Anysia Nascimento
(Typed or printed name of person maining correspondence)

(Signature of person mailing correspondence

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C	S.F.R. §1.97(b).
X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
_X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 2005

Sheryl Sue Holloway

Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Substitute	for Form 1449	/PTO		Complete i	if Known	
	INFOE	ελλΔ	TION DISCLOSUR	E	Application Number	09/932,693
PE	\ \ \				Filing Date	August 16, 2001
LAE ASS	1TATR/2	EME	ENT BY APPLICAN	T	First Named Inventor:	Tobin
EP 07 2005	<u>w</u>	(use as	s many sheets as necessary)		Art Unit	3621
ELA	<u>[</u> ]				Examiner Name	J. Winter
Sheet	1		of	1	Attorney Docket Number	080398.P402
		-	II C DATEN	IT DOCUMENTS		
<b>5</b>	Cita Na 1		U.S. PAI EI		Name of Patentee or	I Banca Calumna Line
Examiner Initials*	Cite No.		Document Number	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Numi	ber-Kind Code <sup>2</sup> (If known)			Figures Appear
		US-	6,816,843	11-09-2004	Baughman	-
		US-	2004/0002903 A1	01-01-2004	Stolfo, et al.	
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		FO	REIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
Examiner Signature				Date Cons	idered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.